

New Partner and LDP Non Solicitor Manager Additional Information

Section A

To be completed in all circumstances

Name of Partner/Director/LLP Member/Consultant

A Curriculum Vitae will be required by Insurers.

Has the appointment been made from within the Firm? Yes No

Has the person named been personally involved in any professional negligence claims within the past six years? Yes No

Does the appointment create a "successor practice" as defined in the Law Society's current Minimum Terms and Conditions? Yes No

Has the Partner/Director/LLP Member/ Consultant ever:

been refused a practising certificate or been granted a conditional certificate? Yes No

been investigating, charged, tried or convicted for any criminal offence involving fraud or dishonesty or had a civil judgement made against them? Yes No

failed to meet any professional indemnity insurance premium payment or excess contribution? Yes No

been subject to, or have pending, any disciplinary procedures or investigation by the Law Society, the SRA, the OSS, Consumer Complaints Service, SDT, Legal Services Ombudsman or Consumer Complaints Board, Legal Complaints Service, the Office for Legal Complaints or any other regulatory body or ombudsman or a successor body to any of the above? Yes No

Has the Partner/Director/LLP Member/ Consultant ever:

been subject to an intervention by the Law Society or SRA? Yes No

acted as an intervening agent appointed by the Law Society or SRA? Yes No

taken over an intervened Firm? Yes No

Section B

To be completed where the Firm is a Legal Disciplinary Practice in relation to a non solicitor Manager.

Copies of all information presented to the SRA as part of their assessment as to his or her suitability, together with the SRA's response, will be requested separately by Travelers.

Give full details below of this person's role in the business. Please include how many hours are devoted to the business per week.

Is this person allowed to give undertakings or sign client cheques?

Yes No

Please explain how the work of this person is supervised. This should include details of how compliance with the Solicitors Accounts Rules is monitored.

Signatory:

I am:

1) the new Partner, Director, LLP Member or Consultant; or

2) the non solicitor Manager (as defined in the Legal Services Act 2007) where the Firm is a Legal Disciplinary Practice

and by submitting this questionnaire in electronic form acknowledge such as if having signed it.

Yes No

Date Name:

For and on behalf of (Insert name of firm/business):