

Approximately what percentage of the total fees earned from personal injury work over the last five years has been backed by legal expense insurers?

What legal expense insurers have you/do you use?

What percentage of your current cases have ATE insurance?

 %

Please provide the names of all ATE insurance providers you deal with or have dealt with in the last two years.

Please name any ATE insurance provider that you place more than 20% of your business with and specify the percentage in each case.

Do you receive, or have you received, any time in the last three years, any commission or other financial incentive from any insurer? Yes No

If 'Yes', please provide details.

Please provide a copy of any standard letter that you have advising clients about the choice of ATE insurer and any commissions, financial incentives or similar that you receive.

Do you use any particular provider for expert reports in more than 20% of your cases? Yes No

If 'Yes', please provide full details, including identity of provider, percentage of cases and background to the level of instructions.

Is all work undertaken on a CFA or similar fee basis, or backed by ATE insurance arranged through (or on the basis of a review conducted by) your practice, approved by a Principal of the practice prior to acceptance? Yes No

If 'No', please provide details of the risk management and file review procedures in place.

Has your practice or any prior practice ever conducted cases backed by ATE insurance where each case was not individually reviewed by the ATE insurer prior to acceptance? Yes No

If 'Yes', please provide full details of the work undertaken, including the names of any referral sources and/or ATE insurers as applicable

What procedures are in place to ensure that such work is properly monitored prior to commencement?

What procedures are in place to ensure continuous assessment of such work is undertaken subsequent to commencement?

At each stage does a senior partner sign-off such work? Yes No

Have you, including any prior practice (as disclosed in our proposal form), notified your participation in any personal injury scheme or arrangement as a circumstance / claim to your current or previous insurers? Yes No

Have you reviewed all vibration white finger, bronchitis and emphysema or other industrial disease scheme cases and complied with the scheme deadlines for lodging claims? Yes No

What financial provision has your firm made for the payment of multiple excesses or, where relevant, AIL referral fees, should you be found liable for any TAG (or similar) claims made against you?

A copy of your management accounts will be requested separately to verify the provision/contingency fund set aside for such payments should they become due in the next two years.

Has your practice or any prior practice:

ever accepted instructions for any class actions or other group litigation, or in respect of any industrial disease or medical malpractice claim? Yes No

ever conducted work for or on behalf of a Trade Union or similar body? Yes No

ever undertaken any work referred by and/or purchased from any claims management company, referral network, or promotional group? Yes No

If 'Yes' to any of the above, please complete the following:

	TAG	Claims Direct	All others
In how many cases did you succeed in obtaining damages and costs for the client?			
How many cases failed completely?			
How many cases successfully went through the "change of fact" procedure?			
How many cases are still ongoing?			
How many referrals in total did you accept?			
What were the total fees generated by the referrals?			

If you have used any company other than TAG or Claims Direct, please state the name of the company.

TAG

Claims Direct

All others

Have your files been audited by the underwriters of any schemes or is an audit proposed?

Yes

No

Yes

No

Yes

No

Have your files been audited by the funders of any schemes or is an audit proposed?

Yes

No

Yes

No

Yes

No

Have you received correspondence from any underwriters and/or funders making or intimating a claim against you in respect of any cases taken on by you under the various schemes?

Yes

No

Yes

No

Yes

No

Have you received correspondence from the underwriters and/or funders raising concerns either generally with regard to any of the schemes or specifically with regard to any cases taken on by you under the various schemes?

Yes

No

Yes

No

Yes

No

Have any letters been sent by you notifying current or prior insurers of any claims or circumstances arising out of work done by you under these schemes?

Yes

No

Yes

No

Yes

No

In how many cases have you paid a referral fee to a claims management company or their agent?

%

Please state whether you have or will be repaying any referral fees following the Law Society's guidance on this issue